

Appendix 2 - Risk Scoring Mechanism

In determining our approach to assessing risk the Council bears in mind the following elements:

Probability – This is the chance that a risk will materialise. It should be based upon an objective assessment of the causes of the risk, the likelihood of their occurrence and the strength of measures to prevent such an occurrence.

The measures of probability are:

Description	Example Detail Description
6	Is expected to occur in most circumstances
5	Will probably occur in most circumstances
4	Might occur in most of the circumstances
3	Could occur in most of the circumstances
2	May occur only in exceptional circumstances
1	Is never likely to occur

Severity – These are examples of the consequences for the Council, service users and others, of such an occurrence

Severity	Example Detail Description
4	Medium term loss of service capability Adverse UK wide publicity Litigation almost certain and difficult to defend Financial risk in excess of £5,000,000 Breaches of law punishable by imprisonment
3	Short term loss of service capability Adverse Wales wide publicity Litigation to be expected Financial risk between £3,000,000 and £5,000,000 Breaches of law punishable by fines only
2	Short term disruption to service capability Adverse local publicity High potential for complaint, litigation possible Financial risk between £1,000,000 and £3,000,000 Breaches of regulations/standards
1	No significant disruption to service capability Unlikely to cause any adverse publicity Unlikely to cause complaint or litigation Financial risk below £1,000,000 Breaches of local procedures or standards.